

Sutor

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: 6-30-00

By: *Lang Thum*

Docket No. 0368-0001.30

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Ravkin, et al.

SERIAL No.: 09/549,970

FILED: April 14, 2000

FOR: CHEMICAL-LIBRARY COMPOSITION AND METHOD

EXAMINER: Unknown

ART UNIT: Unknown

Response to Anticipated Notice to File Missing Parts of Application

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

1. In response to the anticipated Notice to File Missing Parts not yet received, applicant submits the following:

- ☒ An Executed Declaration of Inventorship
- ☐ A Power of Attorney by Assignee
- ☒ A Verified Statement Claiming Small Entity Status
- ☒ a copy of an assignment recordation cover sheet (Form PTOL-1595) for assignment(s) being filed separately.

07/07/2000 UNKNOWN 00000085 09549970

01 FC:201	345.00 OP
02 FC:205	65.00 OP
03 FC:202	78.00 OP
04 FC:203	162.00 OP

2. Conditional Petition for Extension of Time


Applicant petitions for an Extension of Time if necessary for timely filing of this Response.

3. Fee Payment

- ☒ Enclosed is a check for \$650.00 in payment of the basic filing fee (\$345.00 + \$240.00 in additional claim fees) and the surcharge for late filing of declaration or oath (\$65.00).
- ☒ Please charge any underpayment for timely filing of this Response to Deposit Account No. 04-0531.

Respectfully submitted,

Date: June 30, 2000


Gregory L. Heinkel
Registration No. 44,755

Correspondence Address:

Customer No. 22918



Attorney Docket No.: 0368-0001.30

Applicant: Ravkin, et al.

Serial No.: Not yet Assigned

Filing Date: April 14, 2000

For: **CHEMICAL-LIBRARY COMPOSITION AND METHOD**

Small Entity Statement Under
37 CFR 1.9(f) and 1.27(c) - Small Business Concern

I hereby declare that I am:

- ☐ The owner of the small business concern identified below:
- ☒ An official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: **Virtual Arrays, Inc.**

Address of Concern: **1033 Tulipan Drive**
San Jose, CA 95129

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR §121.12, and reproduced in 37 CFR §1.9(d), for purposes of paying reduced fees under 35 U.S.C. §41 in that the number of employees, including those of its affiliates, does not exceed 500 persons and the concern has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or licenses, any rights in the invention to any person who could not be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization under this section. For this section, concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. The number of employees of the business concern is the average over the fiscal year of the persons employed during each of the pay periods of the fiscal year. Employees are those persons employed on a full-time, part-time or temporary basis during the previous fiscal year of the concern. I hereby declare that rights under contract or law in the above-identified application have been conveyed to and remain with the small business concern identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR §1.9(d) or by any concern which would not qualify as an independent inventor under 37 CFR §1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27).

Name: _____

Address: _____

☐ individual ☐ small business concern ☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this statement is directed.

NAME OF PERSON SIGNING: SIMON GOLDBARD

TITLE OF PERSON SIGNING: CFO

ADDRESS OF PERSON SIGNING: 1033 Tulipan Drive, San Jose, CA 95129

Signature: Sim Goldbard

Date: 6/2/00